Change Request form

<table>
<tr><td colspan="4" align="center">**General Information (Origin of Request)**</td></tr>
<tr><td colspan="4">☐ User Requirements Document (URD)</td></tr>
<tr><td colspan="4">☒ User Detailed Functional Specification (UDFS)</td></tr>
<tr><td colspan="4">☐ User Handbook (UHB)</td></tr>
<tr><td colspan="4">☒ Other User Functional or Technical Documentation (SYS)</td></tr>
<tr><td colspan="2">**Request raised by:** 4CB</td><td>**Institution:**</td><td>**Date raised:**</td></tr>
<tr><td colspan="2">**Request title:** Editorial changes to TIPS Scope Defining Documents R5.0.1</td><td colspan="2">**Request ref. no:** TIPS-0054-SYS[1]</td></tr>
<tr><td colspan="2">**Request type:**</td><td colspan="2"></td></tr>
<tr><td colspan="2">**1. Legal/business importance parameter:** Medium</td><td colspan="2">**2. Market implementation efforts parameter – Stakeholder impact:** Low</td></tr>
<tr><td colspan="2">**3. Operational impact:** Low</td><td colspan="2">**4. Financial impact parameter:** Low</td></tr>
<tr><td colspan="2">**5. Functional/ Technical impact:** Low</td><td colspan="2">**6. Interoperability impact:** Low</td></tr>
<tr><td colspan="2">**Requestor Category:** 4CB</td><td colspan="2">**Status:** Allocated to a release</td></tr>
</table>

Reason for change and expected benefits/business motivation:

This CR proposes to perform some editorial changes to the baseline version 5.0.1 of the TIPS Scope Defining Documents (SDDs). The changes stem from both (i) the outcome of the internal testing activity related to TIPS Release 5.0 and (ii) the management of the TIPS tickets opened by the market participants, whose resolution results into an editorial amendment of the TIPS SDDs.

_____

Description of requested change:

The following editorial changes have been identified:

- Include error code L099 for liquidity management processing in the interface between TIPS and any connected RTGS system (**INC000000326444**).

- Editorial changes to Table 88 (**INC000000335604**).

- Correction of typos in the message specification for pacs.008 (V02 and V08) and for camt.053 V06.

- Editorial changes to CRDM UHB stemming from IAC testing phase in view of the TIPS Release 5.0.

_____

Submitted annexes / related documents:

_____

Proposed wording for the Change request:

TIPS UDFS v5.0.1

1) <u>**Liquidity Management error code L099 – [INC000000326444]**</u>

   4.1. Business Rules

Change Request form

Business process	BR Name	Check ID	Input Fields and parameters	Business check	Error codes	Error Description
[…]	[…]	[…]	[…]	[…]	[…]	[…]
Liquidity Transfer business process	Intra-service LT check	040014	Debtor Account Creditor Account	The account to be debited for an Intra-service Liquidity Transfer shall exist, it shall have type either "TIPS Account" or "TIPS AS Technical Account", having an opening date equal or less than the current RTGS Business day and closing date equal or greater than the current RTGS Business day. The account to be credited for an Intra-service Liquidity Transfer shall exist, it shall have type either "TIPS Account" or "TIPS AS Technical Account", having an opening date equal or less than the current RTGS Business day and closing date equal or greater than the current RTGS Business day. If both accounts are of the same type, the Liquidity Transfer is rejected.	If the check is not respected: - error code **L014**	Intra-service LT not allowed
Liquidity Transfer business process	Timeout Check - Originator Side	040099	Debtor Account Creditor Account	In the internal communication between TIPS and any connected RTGS system, in case of Liquidity Transfer, the Creditor Account type shall be 'CASH' while the Debtor Account type shall be 'SACC'.	If the check is not respected: - error code **L099**	Cannot determine the direction of the LT order
[…]	[…]	[…]	[…]	[…]	[…]	[…]

4.2.2. List of non-ISO Error codes

Error Code	Error description
[…[	[…[
L014	Intra-service LT not allowed
L099	Cannot determine the direction of the LT order
[…[	[…[

2) **Table 88 (INC000000335604)**

Table 1 – GetAccount (camt.003.001.06)

Field Name	Description	XML path	Mand.	TIPS Usage
[…]	[…]	[…]	[…]	[…]
Account User	Identification of either the BIC of the Authorised Account User of the Account/ or CMB, or the BIC of the owner of the Account/CMB to query, depending on the access right profile of the requestor.	GetAcct/AcctQryDef/AcctCrit/NewCrit/SchCrit/AcctOwnr/Id/OrgId/AnyBIC	Yes	TIPS uses this BIC in combination with the Distinguished Name to derive access rights granted to the requestor

3) **§ 3.3.2.1.3 FIToFICustomerCreditTransferV02 (pacs.008.001.02)**

EPC Ref.	Reference Name	EPC/ISO Description	XML path	Mand.	TIPS Usage
[…]	[…]	[…]	[…]	[…]	[…]
n/a	Remittance Information + Unstructured	Information supplied to enable the matching/reconciliation of an entry with the items that the payment is intended to settle, such as commercial invoices in an account receivable system, in an unstructured form.	FIToFICstmrCdtTrf/CdtTrf TxInf/RmtInf/Ustrd	No	Either Unstructured or Structured may be present. If both components are included, the message will be rejected during the schema validation process.
n/a	Remittance Information + Structured	Information supplied to enable the matching/reconciliation of an entry with the items that the payment is intended to settle, such as commercial invoices in an account receivable system, in a structured form.	FIToFICstmrCdtTrf/CdtTrf TxInf/RmtInf/Strd	No	Either Unstructured or Structured may be present. If both components are included, the message will be rejected during the schema validation process.
n/a	Remittance Information + Structured ++ Creditor Reference Information	Information supplied to enable the matching/reconciliation of an entry with the items that the payment is intended to settle, such as commercial invoices in an account receivable system, in a structured form.	FIToFICstmrCdtTrf/CdtTrf TxInf/RmtInf/Strd/<u>CdtrRefI nf</u>	No	As the Creditor PSP is not obliged to validate the reference information, TIPS will apply schema validation to this component and included sub-components.

4) **§ 3.3.2.1.6 FIToFICustomerCreditTransferV08 (pacs.008.001.08)**

Field Name	Description	XML path	Mand.	TIPS Usage
[…]	[…]	[…]	[…]	[…]
Creditor Account Identification	Identification of the Creditor Account	FIToFICstmrCdtTrf/CdtTrfTxInf/Cdtr<u>Acct</u>/Id/<s>OrgId</s>	Yes	Only schema validation is performed.
[…]	[…]	[…]	[…]	[…]
<s>Creditor Account Proxy</s>	<s>Specifies an alternate assumed name for the identification of the account.</s>	<s>FIToFICstmrCdtTrf/CdtTrfTxInf/Cdtr/Id/PrvtId</s>	<s>No</s>	<s>Only schema validation is performed.</s>
[…]	[…]	[…]	[…]	[…]

Field Name	Description	XML path	Mand.	TIPS Usage
Remittance Information + Structured ++ Creditor Reference Information	Information supplied to enable the matching/reconciliation of an entry with the items that the payment is intended to settle, such as commercial invoices in an account receivable system, in a structured form.	FIToFICstmrCdtTrf/CdtTrfTxInf/RmtInf/Strd/CdtrRefInf	No	As the Creditor Bank is not obliged to validate the reference information, TIPS will apply schema validation to this component and included sub-components.

5) **§ 3.3.2.2.12 BankToCustomerStatement (camt.053.001.06)**

Field Name	Description	XML path	Mand.	TIPS Usage
[…]	[…]	[…]	[…]	[…]
Amount Details + ProprietaryAmount	Additional information for Account balance	BkToCstmrStmt/Stmt/Ntry/AmtDtls/PrtryAmt/Amt	Yes	For every Entry of the statement, two Proprietary Amount blocks are included. These blocks define the Account Balance before and after the settlement of the Instant Payment Transaction or Liquidity Transfers occurred.
Transaction Account Balance	Balance of the Account before/after the execution of the transaction	BkToCstmrStmt/Stmt/Ntry/AmtDtls/PrtryAmt/Amt	Yes	Amount of the Account Balance for the related Entry.
Transaction Account balance type	Type of the balance	BkToCstmrStmt/Stmt/Ntry/AmtDtls/PrtryAmt/Tp	Yes	Definition of the type of returned Proprietary Amount. Allowed values: - **BFTS**: Before the settlement of the Transaction; - **FTTS**: After the settlement of the Transaction.
[…]	[…]	[…]	[…]	[…]

6) **§2.3.1.3 - Party New/Edit screen**

A new button "Align with TIPS" is introduced to facilitate the intraday alignment procedures, for blocking status of a party, introduced with TIPS-0021.

Buttons

[…]	[…]
Technical Addresses Network Services Link	This function enables the user to enter the page in which is possible to associate list of the link among Technical Addresses associated to the Party and the Network Services.
Align with TIPS status	This button is visible only if the party is relevant for TIPS and only in EDIT mode. The button is active only when the TIPS blocking status is different from the CRDM one. When clicking on this button, the user is asking the system to align the status with the status of the restrictions in TIPS.

7) **§2.3.2.3 - Cash Account New/Edit screen**

A new button "Align with TIPS" is introduced to facilitate the intraday alignment procedures, for blocking status of a cash account, introduced with TIPS-0021.

Buttons

[…]	[…]
Cancel	This function enables the user to cancel the process and return to the previous screen.
Align with TIPS status	This button is visible only for TIPS Accounts or a TIPS Credit Memorandum Balances and only in EDIT mode. The button is active only when the TIPS blocking status is different from the CRDM one. When clicking on this button, the user is asking the system to align the status with the status of the restrictions in TIPS.

_____

High-level description of Impact:

Given the editorial nature of the changes without any impact on the software, no impact is also foreseen for the market community. The benefit of this change request is to pursue the improvement of the documentation and the correction of minor misalignments between the software currently implemented and the Scope Defining Documents.

_____

Impacts on other projects and products:

No impact is envisaged on other projects and products.

Outcome/Decisions: